

ANNEX B
GOVERNMENT PROCUREMENT POLICY BOARD
CONSOLIDATED PROCUREMENT MONITORING REPORT

Name of Agency: BUREAU OF FISHERIES AND AQUATIC RESOURCES VII

	Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11	Column 12
	Total Amount of Approved APP	Total Number of Procurement Activities	No. of Contracts Awarded	Total Amount of Contracts Awarded	No. of Failed Biddings	Total No. of Entities who Acquired Bid Docs	Total No. of Bidders who Submitted Bids	Total No. of Bidders who passed Eligibility Stage	No. of Bid Opportunities Posted at PhilGFS	No. of Contract Award Posted at PhilGFS	Total No. Of Contracts that Incurred negative slippage	
1. Public Bidding*												
1.1. Goods	41,694,885.67	38	38	36,428,389.97	0	68	67	67	38	38		
1.2. Works	16,427,766.12	5	5	15,801,048.24	1	9	9	9	5	5		
1.3. Consulting Services												
Sub-Total	58,122,651.79	43	43	52,229,438.21	1	77	76	76	43	43	0	
2. Alternative Modes												
2.1.1 Shopping (S2.1 a above 50K)												
2.1.2 Shopping (S2.1 b above 50K)												
2.1.3 Other Shopping												
2.2.1 Direct Contracting (above 50K)												
2.2.2 Direct Contracting (50K or less)												
2.3.1 Repeat Order (above 50K)												
2.3.2 Repeat Order (50K or less)												
2.4. Limited Source Bidding												
2.5.1 Negotiation (Common Use Supplies)	7,628,552.00	35	35	5,798,375.75								
2.5.2 Negotiation (Recognized Government Printers)												
2.5.3 Negotiation (IFB 53.1)	1,296,000.00	2	2	1,182,630.00					2	2		
2.5.4 Negotiation (SVP 53.9 above 50K)	28,747,283.00	164	145	18,578,645.67					164	103		
2.5.5 Other Negotiated Procurement (Others above 50K)	2,500,000.00	15	15	2,432,771.25						15		
2.5.6 Other Negotiated Procurement (50K or less)	10,158,776.46	281	281	7,145,128.19								
Sub-Total	50,330,611.46	497	478	35,137,550.86					166	120		
3. Foreign Funded Procurement**												
3.1. Public-Bid												
3.2. Alternative Modes												
Sub-Total	0.00	0	0	0.00								
4. Others, specify:												
TOTAL	108,453,263.25	540	521	87,366,989.07								

* Should include foreign-funded publicly-bid projects per procurement type
 ** All procurement using Foreign funds excluding National Competitive Bidding (NCB) contracts; conversion to peso will be at BSP rates at the time the bids/quotations were submitted

ANNABELLE C. AGRAVANTE
 BAC Secretariat

Janita M. Ruinata
 JESELITA G. RUINATA
 BAC Chairperson

[Signature]
 ALLAN I. PONZA
 Region Director

AGENCY PROCUREMENT COMPLIANCE AND PERFORMANCE INDICATORS (APCPI)
QUESTIONNAIRE

Name of Agency: Bureau of Fisheries and Aquatic Resources Region 7
Name of Respondent: Jeselita G. Ruinata

Date: March 31, 2023
Position: BAC Chairperson

Instruction: Put a check (✓) mark inside the box beside each condition/requirement met as provided below and then fill in the corresponding blanks according to what is asked. Please note that all questions must be answered completely.

1. Do you have an approved APP that includes all types of procurement, given the following conditions? (5a)

- Agency prepares APP using the prescribed format
- Approved APP is posted at the Procuring Entity's Website
please provide link: region7.bfar.da.gov.ph
- Submission of the approved APP to the GPPB within the prescribed deadline
please provide submission date: January 31, 2023

2. Do you prepare an Annual Procurement Plan for Common-Use Supplies and Equipment (APP-CSE) and Procure your Common-Use Supplies and Equipment from the Procurement Service? (5b)

- Agency prepares APP-CSE using prescribed format
- Submission of the APP-CSE within the period prescribed by the Department of Budget and Management in its Guidelines for the Preparation of Annual Budget Execution Plans issued annually
please provide submission date: January 31, 2023
- Proof of actual procurement of Common-Use Supplies and Equipment from DBM-PS

3. In the conduct of procurement activities using Repeat Order, which of these conditions is/are met? (2e)

- n/a Original contract awarded through competitive bidding
- n/a The goods under the original contract must be quantifiable, divisible and consisting of at least four (4) units per item
- n/a The unit price is the same or lower than the original contract awarded through competitive bidding which is advantageous to the government after price verification
- n/a The quantity of each item in the original contract should not exceed 25%
- n/a Modality was used within 6 months from the contract effectivity date stated in the NTP arising from the original contract, provided that there has been a partial delivery, inspection and acceptance of the goods within the same period

4. In the conduct of procurement activities using Limited Source Bidding (LSB), which of these conditions is/are met? (2f)

- n/a Upon recommendation by the BAC, the HOPE issues a Certification resorting to LSB as the proper modality
- n/a Preparation and Issuance of a List of Pre-Selected Suppliers/Consultants by the PE or an identified relevant government authority
- n/a Transmittal of the Pre-Selected List by the HOPE to the GPPB
- n/a Within 7cd from the receipt of the acknowledgement letter of the list by the GPPB, the PE posts the procurement opportunity at the PhilGEPS website, agency website, if available and at any conspicuous place within the agency

5. In giving your prospective bidders sufficient period to prepare their bids, which of these conditions is/are met? (3d)

AGENCY PROCUREMENT COMPLIANCE AND PERFORMANCE INDICATORS (APCPI)
QUESTIONNAIRE

- Bidding documents are available at the time of advertisement/posting at the PhilGEPS website or Agency website;
- Supplemental bid bulletins are issued at least seven (7) calendar days before bid opening;
- Minutes of pre-bid conference are readily available within five (5) days.

6. Do you prepare proper and effective procurement documentation and technical specifications/requirements, given the the following conditions? (3e)

- The end-user submits final, approved and complete Purchase Requests, Terms of Reference, and other documents based on relevant characteristics, functionality and/or performance requirements, as required by the procurement office prior to the commencement of the procurement activity
- No reference to brand names, except for items/parts that are compatible with the existing fleet or equipment
- Bidding Documents and Requests for Proposal/Quotation are posted at the PhilGEPS website, Agency website, if applicable, and in conspicuous places

7. In creating your BAC and BAC Secretariat which of these conditions is/are present?

For BAC: (4a)

- Office Order creating the Bids and Awards Committee
please provide Office Order No.: Fisheries Office Order No. 016 s 2022
- There are at least five (5) members of the BAC
please provide members and their respective training dates:

Name/s	Date of RA 9184-related training
A. <u>JESELITA G. RUINATA</u>	<u>July 15-19, 2019 (latest update atte</u>
B. <u>JULIUS CAEZAR N. RUGAY</u>	<u>June 2019 (latest update attended)</u>
C. <u>LUZVIMINDA BATO</u>	<u>June 2019 (latest update attended)</u>
D. _____	_____
E. _____	_____
F. _____	_____
G. _____	_____
- Members of BAC meet qualifications
- Majority of the members of BAC are trained on R.A. 9184

For BAC Secretariat: (4b)

- Office Order creating of Bids and Awards Committee Secretariat or designing Procurement Unit to act as BAC Secretariat
please provide Office Order No.: FOO No. 016 Series of 2022 (amended previous issuances)
- The Head of the BAC Secretariat meets the minimum qualifications
please provide name of BAC Sec Head: ANNABELLE C. AGRAVANTE (Effective January 2022)
- Majority of the members of BAC Secretariat are trained on R.A. 9184
please provide training date: Yes. November 21-22, 2022

8. Have you conducted any procurement activities on any of the following? (5c)

If YES, please mark at least one (1) then, answer the question below.

- Computer Monitors, Desktop Computers and Laptops
- Paints and Varnishes
- Food and Catering Services

AGENCY PROCUREMENT COMPLIANCE AND PERFORMANCE INDICATORS (APCPI)
QUESTIONNAIRE

- | | |
|--|---|
| <input checked="" type="checkbox"/> Air Conditioners | <input checked="" type="checkbox"/> Training Facilities / Hotels / Venues |
| <input type="checkbox"/> Vehicles | <input type="checkbox"/> Toilets and Urinals |
| <input checked="" type="checkbox"/> Fridges and Freezers | <input checked="" type="checkbox"/> Textiles / Uniforms and Work Clothes |
| <input type="checkbox"/> Copiers | |

Do you use green technical specifications for the procurement activity/ies of the non-CSE item/s?

- Yes No

9. In determining whether you provide up-to-date procurement information easily accessible at no cost, which of these conditions is/are met? (7a)

Agency has a working website
please provide link: region7.bfar.da.gov.ph

Procurement information is up-to-date

Information is easily accessible at no cost

10. In complying with the preparation, posting and submission of your agency's Procurement Monitoring Report, which of these conditions is/are met? (7b)

Agency prepares the PMRs

PMRs are promptly submitted to the GPPB
please provide submission dates: 1st Sem - July 1, 2022 2nd Sem - Jan. 14, 2023

PMRs are posted in the agency website
please provide link: region7.bfar.da.gov.ph

PMRs are prepared using the prescribed format

11. In planning of procurement activities to achieve desired contract outcomes and objectives within the target/allotted timeframe, which of these conditions is/are met? (8c)

There is an established procedure for needs analysis and/or market research

There is a system to monitor timely delivery of goods, works, and consulting services

Agency complies with the thresholds prescribed for amendment to order, variation orders, and contract extensions, if any, in competitively bid contracts

12. In evaluating the performance of your procurement personnel, which of these conditions is/are present? (10a)

Personnel roles, duties and responsibilities involving procurement are included in their individual performance commitment/s

Procuring entity communicates standards of evaluation to procurement personnel

Procuring entity and procurement personnel acts on the results and takes corresponding action

13. Which of the following procurement personnel have participated in any procurement training and/or professionalization program within the past three (3) years? (10b)

Date of most recent training: July 15, 2019

AGENCY PROCUREMENT COMPLIANCE AND PERFORMANCE INDICATORS (APCPI)
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- Head of Procuring Entity (HOPE)
- Bids and Awards Committee (BAC)
- BAC Secretariat/ Procurement/ Supply Unit
- BAC Technical Working Group
- End-user Unit/s
- Other staff

14. Which of the following is/are practised in order to ensure the private sector access to the procurement opportunities of the procuring entity? (10c)

- Forum, dialogues, meetings and the like (apart from pre-bid conferences) are conducted for all prospective bidders at least once a year
- The PE promptly responds to all interested prospective bidders' inquiries and concerns, with available facilities and various communication channels

AGENCY PROCUREMENT COMPLIANCE AND PERFORMANCE INDICATORS (APCPI)
QUESTIONNAIRE

15. In determining whether the BAC Secretariat has a system for keeping and maintaining procurement records, which of these conditions is/are present? (11a)

- There is a list of procurement related documents that are maintained for a period of at least five years
- The documents are kept in a duly designated and secure location with hard copies kept in appropriate filing cabinets and electronic copies in dedicated computers
- The documents are properly filed, segregated, easy to retrieve and accessible to authorized users and audit personnel

16. In determining whether the Implementing Units has a system for keeping and maintaining procurement records, which of these conditions is/are present? (11b)

- There is a list of contract management related documents that are maintained for a period of at least five years
- The documents are kept in a duly designated and secure location with hard copies kept in appropriate filing cabinets and electronic copies in dedicated computers
- The documents are properly filed, segregated, easy to retrieve and accessible to authorized users and audit personnel

17. In determining if the agency has defined procedures or standards for quality control, acceptance and inspection of goods, works and services, which of these conditions is/are present? (12a)

- Agency has written procedures for quality control, acceptance and inspection of goods, services and works

Have you procured Infrastructure projects through any mode of procurement for the past year?

- Yes No

If YES, please answer the following:

- Supervision of civil works is carried out by qualified construction supervisors
Name of Civil Works Supervisor: END-USER AND INSPECTION
- Agency implements CPES for its works projects and uses results to check contractors' qualifications (applicable for works only)
Name of CPES Evaluator: BAC-TWG

18. How long will it take for your agency to release the final payment to your supplier/service provider or contractor/consultant, once documents are complete? (12b) Three (3) days

19. When inviting Observers for the following procurement activities, which of these conditions is/are met? (13a)

- A. Eligibility Checking (For Consulting Services Only)
- B. Shortlisting (For Consulting Services Only)
- C. Pre-bid conference
- D. Preliminary examination of bids
- E. Bid evaluation
- F. Post-qualification

- Observers are invited to attend stages of procurement as prescribed in the IRR
- Observers are allowed access to and be provided documents, free of charge, as stated in the IRR
- Observer reports, if any, are promptly acted upon by the procuring entity

AGENCY PROCUREMENT COMPLIANCE AND PERFORMANCE INDICATORS (APCPI)
QUESTIONNAIRE

20. In creating and operating your Internal Audit Unit (IAU) that performs specialized procurement audits, which set of conditions were present? (14a)

- Creation of Internal Audit Unit (IAU) in the agency
Agency Order/DBM Approval of IAU position/s: FOO No. 014 Series of 2021
- Conduct of audit of procurement processes and transactions by the IAU within the last three years
- Internal audit recommendations on procurement-related matters are implemented within 6 months of the submission of the internal auditor's report

21. Are COA recommendations responded to or implemented within six months of the submission of the auditors' report? (14b)

- Yes (percentage of COA recommendations responded to or implemented within six months)
100 %
- No procurement related recommendations received

22. In determining whether the Procuring Entity has an efficient procurement complaints system and has the capacity to comply with procedural requirements, which of conditions is/are present? (15a)

- The HOPE resolved Protests within seven (7) calendar days per Section 55 of the IRR
- The BAC resolved Requests for Reconsideration within seven (7) calendar days per Section 55 of the IRR
- Procuring entity acts upon and adopts specific measures to address procurement-related complaints, referrals, subpoenas by the Omb, COA, GPPB or any quasi-judicial/quasi-administrative body

23. In determining whether agency has a specific anti-corruption program/s related to procurement, which of these conditions is/are present? (16a)

- Agency has a specific office responsible for the implementation of good governance programs
- Agency implements a specific good governance program including anti-corruption and integrity development
- Agency implements specific policies and procedures in place for detection and prevention of corruption

ANNEX C
APCPRI Revised Scoring and Rating System

No.	Assessment Conditions	Poor/Not Compliant (0)	Acceptable (1)	Satisfactory (2)
PILLAR I. LEGISLATIVE AND REGULATORY FRAMEWORK				
Indicator 1. Competitive Bidding as Default Method of Procurement				
1	Percentage of competitive bidding and limited source bidding contracts in terms of amount of total procurement	Below 70.00%	Between 70.00-80.99%	Between 81.00-90.99%
2	Percentage of competitive bidding and limited source bidding contracts in terms of volume of total procurement	Below 20.00%	Between 20.00- 39.99%	Between 40.00-50.00%
Indicator 2. Limited Use of Alternative Methods of Procurement				
3	Percentage of shopping contracts in terms of amount of total procurement	Above 7.00%	Between 5.00-7.00 %	Between 3.00-4.99 %
4	Percentage of negotiated contracts in terms of amount of total procurement	Above 15.00%	Between 9.00 -15.00%	Between 4.00-8.99%
5	Percentage of direct contracting in terms of amount of total procurement	Above 4.00%	Between 3.00-4.00%	Between 1.00-2.99%
6	Percentage of repeat order contracts in terms of amount of total procurement	Above 4.00%	Between 3.00-4.00%	Between 1.00-2.99%
7	Compliance with Repeat Order procedures	Not Compliant		
8	Compliance with Limited Source Bidding procedures	Not Compliant		
Indicator 3. Competitiveness of the Bidding Process				
9	Average number of entities who acquired bidding documents	Below 3.00	3.00-3.99	4.00-5.99
10	Average number of bidders who submitted bids	Below 2.00	2.00-2.99	3.00-4.99
11	Average number of bidders who passed eligibility stage	Below 1.00	1.00 – 1.99	2.00-2.99
12	Sufficiency of period to prepare bids	Not Compliant	Partially Compliant	Substantially Compliant
13	Use of proper and effective procurement documentation and technical specifications/requirements	Not Compliant	Partially Compliant	Substantially Compliant
PILLAR II. AGENCY INSTITUTIONAL FRAMEWORK AND MANAGEMENT CAPACITY				
Indicator 4. Presence of Procurement Organizations				
14	Creation of Bids and Awards Committee(s)	Not Compliant	Partially Compliant	Substantially Compliant
15	Presence of a BAC Secretariat or Procurement Unit	Not Compliant	Partially Compliant	Substantially Compliant
Indicator 5. Procurement Planning and Implementation				
16	An approved APP that includes all types of procurement	Not Compliant		
17	Preparation of Annual Procurement Plan for Common-Use Supplies and Equipment (APP-CSE) and Procurement of Common-Use Supplies and Equipment from the Procurement Service	Not Compliant	Partially Compliant	Substantially Compliant
18	Existing Green Specifications for GPPB-identified non-CSE items are adopted	Not Compliant		
Indicator 6. Use of Government Electronic Procurement System				
19	Percentage of bid opportunities posted by the PhilGEPS-registered Agency	Below 70.99%	Between 71.00-80.99%	Between 81.00-90.99%
20	Percentage of contract award information posted by the PhilGEPS-registered Agency	Below 20.00%	Between 20.00- 50.99%	Between 51.00-80.00%
21	Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency	Below 20.00%	Between 20.00 - 50.99%	Between 51.00-80.00%
Indicator 7. System for Disseminating and Monitoring Procurement Information				

No.	Assessment Conditions	Poor/Not Compliant (0)	Acceptable (1)	Satisfactory (2)
22	Presence of website that provides up-to-date procurement information easily accessible at no cost	0 Not Compliant	1 Partially Compliant	2 Substantially Compliant
23	Preparation of Procurement Monitoring Reports using the GPPB-prescribed format, submission to the GPPB, and posting in agency website	Not Compliant	Partially Compliant	Substantially Compliant

PILLAR III. PROCUREMENT OPERATIONS AND MARKET PRACTICES

Indicator 8. Efficiency of Procurement Processes				
24	Percentage of total amount of contracts signed within the assessment year against total amount in the approved APPs	Below 40.00% or above 100.00%	Between 40.00- 60.99%	Between 61.00% -80.00%
25	Percentage of total number of contracts signed against total number of procurement projects done through competitive bidding	Below 90.00%	Between 90.00- 92.99%	Between 93.00-95.00%
26	Planned procurement activities achieved desired contract outcomes and objectives within the target/allotted timeframe	Not Compliant	Partially Compliant	Substantially Compliant

Indicator 9. Compliance with Procurement Timeframes

27	Percentage of contracts awarded within prescribed period of action to procure goods	Below 90.00%	Between 90.00 to 95.99%	Between 96.00 to 99.99%
28	Percentage of contracts awarded within prescribed period of action to procure infrastructure projects	Below 90.00%	Between 90.00 to 95.99%	Between 96.00 to 99.99%
29	Percentage of contracts awarded within prescribed period of action to procure consulting services	Below 90.00%	Between 90.00 to 95.99%	Between 96.00 to 99.99%

Indicator 10. Capacity Building for Government Personnel and Private Sector Participants

30	There is a system within the procuring entity to evaluate the performance of procurement personnel on a regular basis	Not Compliant	Partially Compliant	Substantially Compliant
31	Percentage of participation of procurement staff in procurement training and/or professionalization program	Less than 60.00% Trained	Between 60.00-75.99% Trained	Between 76-90% of staff trained
32	The procuring entity has open dialogue with private sector and ensures access to the procurement opportunities of the procuring entity	Not Compliant		

Indicator 11. Management of Procurement and Contract Management Records

33	The BAC Secretariat has a system for keeping and maintaining procurement records	Not Compliant	Partially Compliant	Substantially Compliant
34	Implementing Units has and is implementing a system for keeping and maintaining complete and easily retrievable contract management records	Not Compliant	Partially Compliant	Substantially Compliant

Indicator 12. Contract Management Procedures

35	Agency has defined procedures or standards in such areas as quality control, acceptance and inspection, supervision of works and evaluation of contractors' performance	Not Compliant	Partially Compliant	Substantially Compliant
36	Timely Payment of Procurement Contracts	After 45 days	Between 38-45 days	Between 31-37 days

PILLAR IV. INTEGRITY AND TRANSPARENCY OF AGENCY PROCUREMENT SYSTEM

Indicator 13. Observer Participation in Public Bidding

37	Observers are invited to attend stages of procurement as prescribed in the IRR	Not Compliant	Partially Compliant	Substantially Compliant
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Indicator 14. Internal and External Audit of Procurement Activities

No.	Assessment Conditions	Poor/Not Compliant (0)	Acceptable (1)	Satisfactory (2)
		0	1	2
38	Creation and operation of Internal Audit Unit (IAU) that performs specialized procurement audits	Not Compliant	Partially Compliant	Substantially Compliant
39	Audit Reports on procurement related transactions	Below 60% compliance	Between 61-70.99% compliance	Between 71-89.99% compliance
Indicator 15. Capacity to Handle Procurement Related Complaints				
40	The Procuring Entity has an efficient procurement complaints system and has the capacity to comply with procedural requirements	Not Compliant	Partially Compliant	Substantially Compliant
Indicator 16. Anti-Corruption Programs Related to Procurement				
41	Agency has a specific anti-corruption program/s related to procurement	Not Compliant	Partially Compliant	Substantially Compliant